

A state-and-transition approach to alpine grasslands under abandonment

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The abandonment of the traditional pastoral practices is acknowledged as the main causes of the shrub-encroachment in the alpine semi-natural grasslands. In this paper, we proposed a state-and-transition approach in order to organize pastoral vegetation in a simple management-oriented framework integrating ecological data. The study sites were chosen in an inner alpine territory where the abandonment of pastoral practices was more evident than in other alpine regions. Cluster and fuzzy analysis applied to botanical data allowed the classification of six pastoral types and the assessment of the main overlaps between them. Non-parametric tests on Landolt nutrients indices, slope and distance from farm allowed the identification of a gradient linked with nutrients and pastoral practices intensity from rich pastures to shrub encroached pastures. Moreover, the implementation of the available information in a state-and-transition model (STM) allowed us to hypothesize the presence of an ecological threshold between grass-dominated and shrub-dominated grasslands and the identification of the at-risk pastoral types in the shrub-encroachment dynamics.

Keywords: Pastoral types, State-and-transition model, Shrub encroachment, Landolt ecological indices, Grazing management

Introduction

Alpine semi-natural grasslands represent both a traditional landscape element and the basis of the local pastoral systems. These complex agro-ecosystems are a distinctive example of interaction between man and natural resources which is based on long-established farming systems and related agricultural practices.

The alpine pastoral system is based traditionally on the “vertical transhumance” (*i.e.*, livestock movement from lowlands to upland pastures for the summer season). During the 20th century alpine grasslands were involved in a general diminution of their productive importance due to social and economic forces such as the depopulation of mountain areas, marginalisation of local agriculture and the development of more remunerative activities (MacDonald et al. 2000), con-

sequently, the abandonment of agricultural practices caused widespread changes in traditional land management which was directly related to shrub encroachment in grasslands (Jewell et al. 2005), long term decrease of species and landscape biodiversity (Nösberger & Rodriguez 1996, Wallis De Vries et al. 2007), hydrologic disorders and wild-fires (Romero-Calcerrada & Perry 2004) and with irreversible loss of traditional customs (Sabatini et al. 2003).

This situation has led policy-makers to design *ad hoc* policies for marginal areas to preserve mountain farming (*e.g.*, European Agricultural Policy, Dir. 2001/41/EU, Reg. 2003/1782/EU and 2005/1698/EU) to promote mountain pasture conservation and extensive grazing (Barthram et al. 2002). As a matter of fact, it appears that grazing and conservation of traditional practices are an appropriate way to preserve upland pastures and slow down shrub encroachment on a long term basis (Pollock et al. 2007).

In human-managed ecosystems it is common to find empirical evidence of ecological discontinuities and the existence of states has been recognised since 1960s, these concepts belong to the non-linear ecological model (Muradian 2001). Several studies suggest that shrub encroachment leads the ecosystem to an “alternate stable state”, defined by a specific ecological threshold, the main characteristic of alternate state systems is that transition needs intensive practices in order to revert the succession (Beisner et al. 2003). Such behaviour can also be highlighted in

alpine grasslands where the grazing reduction triggers an ecosystem shift from grassland communities to shrub-invaded pastures. Once the equilibrium is broken, the increasing of the stocking rate will not return the community to its initial conditions, thus an ecological threshold has been passed and significant resources input like roller chopping, fire and seeding together with grazing management are necessary to revert the transition.

State-and-transition model (STM) is a framework in which it is possible to apply non-linear ecology and alternate stable states concepts. The general aim is to reorganize knowledge about grasslands in order to facilitate research and practical management. Many efforts have been made to build STMs for arid and semi-arid grasslands (Westoby et al. 1989, Jackson & Bartolomeo 2002) and the US Natural Resources Conservation Service and other federal agencies adopted them in rangeland evaluation (Briske et al. 2005). Except for a few examples (Quétier et al. 2007) STMs for alpine grasslands have not yet been developed.

In the present work we propose a STM approach for upland pastures in an inner alpine territory characterised by widespread shrub encroachment on grasslands and by a generalised diminution of pastoral activities. The development of the STM followed Bestelmeyer et al. (2003) recommendations:

- identification of the range of plant communities in the area under study;
- definition of the extent over which a single STM will apply, based upon values of soil and climate variables (land units or ecological sites);
- definition of the pathways between the plant communities occurring within each ecological site;
- definition of states and transitions based upon available information (botanical notions, empirical observations, environmental conditions).

Material and methods

The study was carried out on more than 3300 ha of semi-natural grasslands located in four contiguous valleys in the eastern Italian Alps (Veneto Region), namely the Sesis, Visdende, Digòn and High Pádola valleys. The pastures under study are located along the national border between Italy and Austria: the mean annual temperature is 2.7 °C and the mean annual precipitation is 1288 mm at 1941 m above sea level, 55% of annual rainfall occurs during the growing season (climate data from Campobon pluviometric station, at a latitude of 46°38' N and longitude of 12°36' E, approximately located in the middle of the area being studied - Fig. 1). The substrate is heterogeneous: main parent

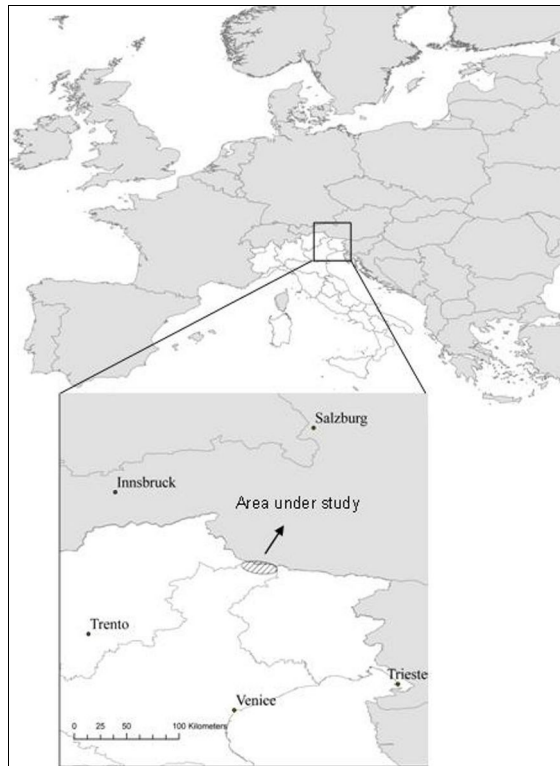
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Fig. 1 - Localization of the area under study.



materials are shale-clay (siliceous) and calcareous-dolomite.

The farming system is extensive. Current key features of the mountain pastures management can be summarized as follows:

- absence of inorganic manure;
- cattle manure represented the main input, such as by the spreading of dairy slurry, and is limited to the pastures neighbouring the farms;
- absence of specific agriculture practices such as mowing or burning-off;
- absence of specific infrastructures like paddocks for cattle distribution on grasslands.

Semi-natural pastures are traditionally grazed during the summer season from June to September, but the area under study is characterized by a remarkable reduction in the number of dairy farms and related activities: from 1970 to 2000 livestock head decreased by 70%, meadow and pasture hectares decreased by 50% (Italian National Institute of Statistics data). Therefore negative effects related to the abandonment of pastoral practices, like shrub encroachment in mountain pastures, are more evident than in other alpine regions.

Identification of pastoral types

Mountain pastures were localized with the aid of aerial photographs and local land-management documents, botanical relevés (transects) were positioned in order to represent a pastoral unit defined as a homogeneous area from the point of view of vegetation, management and ecology. The transects

were recorded on a GPS device and the homogeneous areas were marked on regional maps (1:10000 technical maps - Veneto Region Cartographic Service).

Botanical field survey of mountain pastures was based on 386 point quadrat transects following the Daget and Poissonet method (Daget & Poissonet 1969): the transects were 6.6 m long, 33 intercepts (one intercept every 20 cm) were materialized on the ground with a metal stick, the species touching the stick were identified and the number of times a species was found in the transect was counted. Species nomenclature followed Pignatti (1982). Species frequency (SF) was then converted into specific contribution (SC) in the following way (eqn. 1):

$$SC_i = \frac{SF_i}{\sum_i SF} \cdot 100$$

where SC_i is the specific contribution of the i species and SF_i is the frequency of the i species.

Hierarchical agglomerative clustering (Bray-Curtis distance, Ward agglomeration method) was applied to the matrix relevés x SC. The goal of the cluster analysis was the identification of the plant communities of the area under study. We chose to employ the pastoral types classification because it is a vegetation typology able to join both scientific and management purposes (Bassignana & Bornard 2004). Pastoral types are vegetation groups resulting from environmental conditions and pastoral practices, homogeneous from the point of view of ecological

exigencies and botanical composition, which are identified by the dominance of 1-3 species (Cavallero et al. 2005). Interpretation of cluster analysis results (dendrogram) was based on botanical concepts: each pastoral type was characterized by two or three dominant species and a rather homogeneous species composition.

In absence of historical data, we employed the Landolt's N index to highlight the relationships between grazing management and pastoral types. N index is one of the eight ecological indices proposed by Landolt (1977) originally for the characterization of the Swiss flora, but they were usefully applied in other alpine environments (e.g., Lonati 2005). The N index evaluates the nutrient availability (mainly nitrogen) in a site through the botanical composition, whereas F index evaluates moisture. A value on a scale of 1 to 5 is given to a species catalogue (the high values indicating plants occurring mainly at nutrient- and moisture-rich sites), consequently nutrient availability was assessed for each transect through the weighted averages of the N index values. The link between the N index and grazing was based on the characteristics of the extensive pastoral system in the area under study where nutrient input on the grasslands consisted almost exclusively of cattle manure, therefore concentration of nutrients was strongly influenced by grazing intensity and differences in stocking rate levels.

All the information gathered and the map of the pastoral types were georeferenced and plotted on GIS support.

Definition of ecological sites

The STM approach requires the identification of land units defined by relatively homogeneous ecological conditions (ecological sites) in order to distinguish management-produced from natural patterns in the plant communities' dynamics.

Ecological sites were defined considering geological substrate and elevation, as these proved to be the main environmental factors affecting grassland vegetation in the area examined (Sabatini et al. 2001): we considered two substrate types (siliceous vs. calcareous) and two elevation types, defined by timberline. Theoretical timberline (about 2200 m above sea level in the studied Alp district) was chosen as a threshold between two different ecological sites because it defines two different potential vegetation structures. Calcareous and siliceous sites were defined by employing existing geological maps (Braga et al. 1971). The area of each pastoral type was calculated and assigned to the ecological sites by GIS tools: the results were analysed by chi-square test in order to highlight, using statistical evidence, the existing links between ecological sites and pastoral types. Expected areas for

chi-square test were weighted as follows (Ferrari & D'Antuono 1981 - eqn. 2):

$$E_{xj} = t_x \cdot s_j / S$$

where E_{xj} is the expected area for type x in the site j , t_x is the sum of the areas for type x , s_j is the sum of the areas for ecological site j and S is the general sum of the areas (3320 ha).

Definition of pathways, states and transitions between pastoral types

With the aim to assess the dynamics and the relationships between pastoral types, a fuzzy clustering was applied to the matrix relèves x SC. Fuzzy clustering is a multivariate statistical technique that defines the degree of membership of observations to a set of clusters: the output is a new matrix in which the membership of each relèves is expressed as a percentage (Kaufman & Rousseeuw 1990). In the absence of long term data-sets, pathways in the STM have been defined on the basis of the overlaps between pastoral types pointed out by fuzzy analysis.

In order to evaluate the differences among the pastoral types in each ecological site, Kolmogorov-Smirnov post-hoc test for unbalanced data was applied to N, F index values resulting from botanical transects to evaluate statistical differences between the pastoral types in each ecological site. Slope and distance from the nearest farm for each transect were also analysed by non-parametric test because they are typically in relationship with grazing intensity in alpine pastoral systems (Bornard & Dubost 1992). Statistical analysis was carried out using R statistical software (R Development Core Team 2006) and SYSTAT 9.

Available information about studied grasslands was organized into one STM for each ecological site, botanical survey and stati-

stical analysis were the basis of the models, nonetheless other sources of information such as local farmers' information, expert knowledge, empirical observations and botanical references were considered. Pathways between pastoral types were identified and characterized by fuzzy analysis and non-parametric test. Ecological thresholds, defined as "boundaries between different states which define the passing of an irreversible transition and the changing of the primary ecological processes" (Stringham et al. 2003), were identified when reversion of vegetation shifts needed intensive practices such as seeding, fire, shrubs elimination, etc.

Results

Identification of pastoral types

The 386 field transects distributed on 3320 ha of semi-natural grasslands (on average 9 ha per transect) represented the basis of the present work. Some 319 vascular species were identified in the survey; to reduce redundancy which typically affects botanical data (Kent & Coker 1992), we agreed to discard species below the SC threshold of 5% in each transect, thereby the classification matrix consisted of 386 relèves x 163 species.

Transect ordination through hierarchical cluster analysis and the interpretation of the related dendrogram allowed the identification of six pastoral types (Fig. 2).

The classification pointed out a rather homogeneous botanical composition (Tab. 1). The six pastoral types were defined by clear differences in species composition as each type was defined by different dominant species: *Carex curvula* in type 1, *Vaccinium myrtillus* and *Calluna vulgaris* in type 4, *Sesleria varia* and *Carex sempervirens* in type 5, *Deschampsia caespitosa*, *Alchemilla gr. vulgaris* and *Festuca gr. rubra* in type 6;

Types 2 and 3, on the contrary, were characterized by the same two main species: *Festuca gr. rubra* and *Nardus stricta* (12.0% *Festuca gr. rubra* and 8.0% *Nardus stricta* in type 2, 11.6% *Nardus stricta* and 9.6% *Festuca gr. rubra* in type 3, respectively).

Species belonging to *Poaceae* were dominant in the studied grasslands (Tab. 2). Furthermore, this was the most represented botanic family in all the pastoral types except in type 4; in particular types 2, 3 and 6 were characterized by the highest presence of *Poaceae* (43, 42 and 45 percent respectively), whereas types 1 and 4 were characterized by the lowest presence (29%). Pastoral type 4 was also characterized by the highest presence of *Ericaceae* (37%) which were mainly represented by *Vaccinium spp.*, *Calluna vulgaris* (dwarf-shrub species) and *Rhododendron ferrugineum* (shrub species). Kruskal-Wallis post-hoc test pointed out significant differences across the dominant botanic families among the six pastoral types.

SC attributed to plant life-forms *sensu* Raunkiaer (1934) highlighted a clear dominance of hemicryptophytes (Tab. 3). The lowest value was attributed to type 4 (59%), whereas the highest value was attributed to type 6 (98%). Chamaephytes presence was remarkable in types 4 and 5 (27% and 13% respectively), whereas it was generally low in the other types (e.g., 0% in type 6). Chamaephytes in type 4 comprised typical encroaching species such as *Vaccinium myrtillus*, *Calluna vulgaris*, and *Vaccinium vitis-idaea*: chamaephytes in type 5 were mainly *Thymus gr. serpyllum*, *Helianthemum nummularium*, *Erica carnea*, *Rhododendron hirsutum* and *Dryas octopetala* which are typical species on stony calcareous soils. Type 4 was also characterized by the highest values relating to nanophanerophytes (11%, represented by *Rhododendron ferrugineum* and

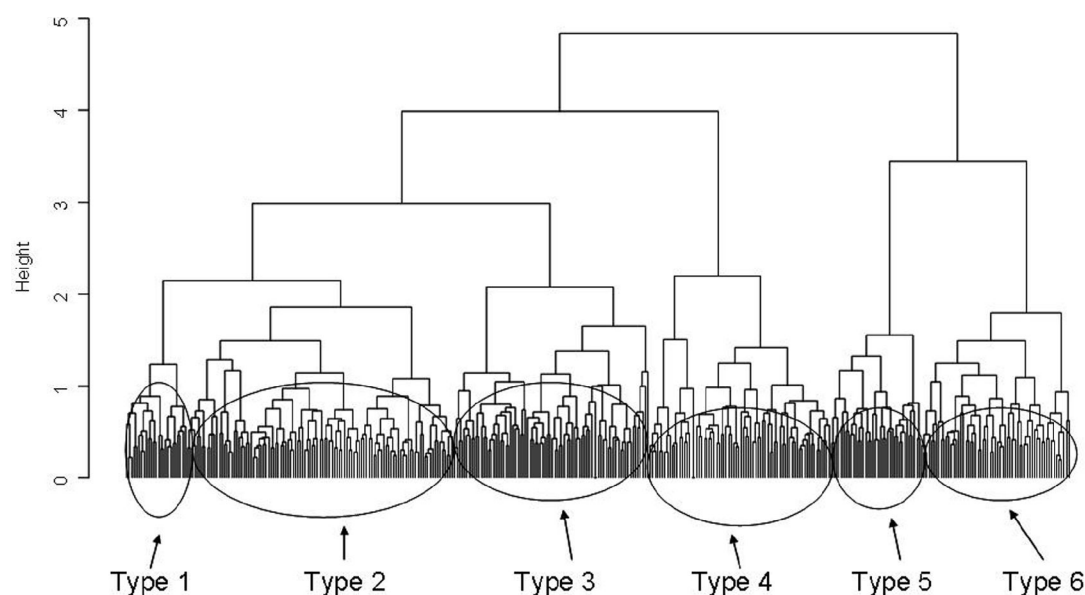


Fig. 2 - Cluster dendrogram. The transects comprising the six pastoral types are highlighted by circles.

Tab. 1 - Dominant species and specific contribution (SC) in each pastoral type.

Type 1		Type 2		Type 3	
species	SC	species	SC	species	SC
<i>Carex curvula</i>	15.9	<i>Festuca gr. rubra</i>	12.0	<i>Nardus stricta</i>	11.6
<i>Leontodon helveticus</i>	7.2	<i>Nardus stricta</i>	8.0	<i>Festuca gr. rubra</i>	9.6
<i>Pulsatilla alpina</i>	6.8	<i>Phleum alpinum</i>	5.6	<i>Potentilla aurea</i>	6.9
<i>Festuca gr. rubra</i>	6.5	<i>Potentilla aurea</i>	4.7	<i>Anthoxanthum odoratum</i>	6.5
<i>Primula minima</i>	6.2	<i>Anthoxanthum odoratum</i>	3.8	<i>Leontodon helveticus</i>	6.1
<i>Anthoxanthum odoratum</i>	5.1	<i>Potentilla erecta</i>	3.8	<i>Geum montanum</i>	6.0
<i>Avenula versicolor</i>	4.7	<i>Ranunculus montanus</i>	3.4	<i>Homogyne alpina</i>	5.0
<i>Potentilla aurea</i>	4.7	<i>Leontodon helveticus</i>	2.6	<i>Poa alpina</i>	3.7
<i>Nardus stricta</i>	4.4	<i>Leontodon hispidus</i>	2.4	<i>Phleum alpinum</i>	3.2
<i>Leontodon hispidus</i>	3.7	<i>Trifolium repens</i>	2.4	<i>Leontodon hispidus</i>	3.2
Type 4		Type 5		Type 6	
species	SC	species	SC	species	SC
<i>Vaccinium myrtillus</i>	9.6	<i>Sesleria varia</i>	10.4	<i>Deschampsia caespitosa</i>	10.4
<i>Calluna vulgaris</i>	8.8	<i>Carex sempervirens</i>	9.1	<i>Alchemilla gr. vulgaris</i>	10.4
<i>Nardus stricta</i>	8.2	<i>Festuca gr. rubra</i>	8.5	<i>Festuca gr. rubra</i>	10.0
<i>Vaccinium vitis idaea</i>	6.2	<i>Lotus alpinus</i>	4.2	<i>Phleum alpinum</i>	9.4
<i>Rhododendron ferrugineum</i>	6.0	<i>Polygonum viviparum</i>	4.1	<i>Ranunculus montanus</i>	7.0
<i>Festuca gr. rubra</i>	5.8	<i>Avenula versicolor</i>	3.4	<i>Trifolium repens</i>	4.8
<i>Juniperus nana</i>	4.7	<i>Ranunculus montanus</i>	3.0	<i>Agrostis tenuis</i>	3.1
<i>Avenella flexuosa</i>	2.9	<i>Anthyllis vulneraria</i>	3.0	<i>Trifolium pratense</i>	2.8
<i>Vaccinium uliginosum</i>	2.9	<i>Homogyne alpina</i>	2.7	<i>Achillea gr. millefolium</i>	2.6
<i>Carex sempervirens</i>	2.9	<i>Thymus gr. serpyllum</i>	2.5	<i>Trifolium thalii</i>	2.2

Tab. 2 - Mean specific contribution (%) of the main botanic family in each pastoral type. Kruskal-Wallis post hoc test (**): $P < 0.001$.

Family	Type 1	Type 2	Type 3	Type 4	Type 5	Type 6	p
Compositae	18.5	18.6	14.5	10.3	11.0	9.2	***
Cyperaceae	16.8	4.9	4.8	4.7	10.2	2.0	***
Ericaceae	5.3	4.0	2.3	37.1	4.9	0	***
Juncaceae	1.6	0.7	0.9	2.7	0.3	0	***
Labiatae	0.7	0.3	2.0	0.4	6.5	1.3	***
Leguminosae	0	6.0	7.9	0.7	11.9	10.9	***
Poaceae	29.3	43.1	42.3	28.6	31.2	44.8	***
Ranunculaceae	6.9	2.6	4.6	2.2	3.9	8.7	***
Rosaceae	7.4	15.9	12.2	7.4	3.6	13.6	***
Umbelliferae	0	2.3	1.2	0	0	2.2	***

Juniperus nana) and phanerophytes (1%, mainly *Larix decidua*). On aggregate, nanophanerophytes, phanerophytes and chamaephytes reached 39% of SC in pastoral type 4. This evidence highlighted an important invasion of shrub-species and the presence, although only 1%, of tree-species, which likely pointed towards an early phase of forest re-growth process. Kruskal-Wallis test pointed out significant differences concerning life forms (except for terophytes) between the six pastoral types.

Definition of ecological sites

The differentiation between siliceous and calcareous areas indicated a clear dominance of siliceous substrate in the studied grasslands (296 ha for calcareous substrate vs. 3025 ha for siliceous substrate - Tab. 4), chi-square test linked types 1, 3 and 4 to siliceous substrates and type 5 to calcareous substrate ($p < 0.01$), types 2 and 6 were distributed on both substrates and they did not show any links to a specific substrate. Therefore two ecological sites linked with sub-

strate typology were identified:

- siliceous sites (pastoral types 1, 2, 3, 4 and 6);
- calcareous sites (pastoral types 2, 5 and 6).

A second chi-square test was subsequently applied in siliceous and calcareous sites, to two elevation levels (above and below timberline - Tab. 5). The analysis did not show specific links in calcareous areas; on the contrary, high significance resulted in siliceous areas: type 1 was linked with high altitude pastures ($p < 0.01$), types 4 and 6 were linked with areas below timberline ($p < 0.01$ and $p < 0.05$ respectively), whereas types 2 and 3 highlighted no specific links.

As a result three ecological sites were defined:

- siliceous sites above 2200 m linked with types 1, 2 and 3 (site A);
- siliceous sites below 2200 m linked with types 2, 3, 4, 6 (site B);
- calcareous sites linked with types 2, 5 and 6 (site C).

Only three types (1, 4 and 5) were assigned to a single ecological site, types 2, and 6 were linked with two different ecological sites, pastoral type 3 was linked with all the ecological sites identified in the studied area.

Tab. 3 - Mean specific contribution (%) of Raunkiaer's life forms in each pastoral type. h: hemycryptophytes; ch: chamaephytes; g: geophytes; np: nanophanerophytes; t: terophytes; p: phanerophytes. Kruskal-Wallis post hoc test; (**): $p < 0.001$; (ns): $p > 0.05$.

Life Forms	Type						p
	1	2	3	4	5	6	
h	91 ^b	90 ^{ab}	92 ^{ab}	59 ^d	80 ^c	98 ^a	***
ch	6 ^c	6 ^c	5 ^c	27 ^a	13 ^b	0 ^d	***
g	1 ^c	3 ^b	1 ^c	1 ^c	5 ^a	2 ^{bc}	***
np	1 ^b	1 ^{bc}	1 ^{bc}	11 ^a	1 ^{bc}	0 ^c	***
t	1 ^a	0 ^a	1 ^a	1 ^a	1 ^a	0 ^a	ns
p	0 ^{ab}	0 ^{ab}	0 ^{ab}	1 ^a	0 ^{ab}	0 ^b	***

Tab. 4 - Pastoral type areas in siliceous and calcareous sites. Chi-square test on weighted values; (**): $P < 0.01$; (ns): not significant at $P < 0.05$.

Pastoral type	Calcareous substrate (ha)	Siliceous substrate (ha)	p
Type 1	0	351	**
Type 2	40	356	ns
Type 3	48	1139	**
Type 4	22	944	**
Type 5	175	31	**
Type 6	11	204	ns
Total	296	3025	-

Tab. 5 - Chi square test on two levels of elevation in siliceous and calcareous sites. Chi-square test on weighted values; (*): $P < 0.05$; (**): $P < 0.01$; (ns): not significant at $P < 0.05$.

Substrate	Pastoral type	Below 2200 m (ha)	Above 2200 m (ha)	P
Calcareous	Type 2	4	36	ns
	Type 5	20	155	ns
	Type 6	3	8	ns
Siliceous	Type 1	107	244	**
	Type 2	313	43	ns
	Type 3	772	367	ns
	Type 4	841	104	**
	Type 6	202	3	*

Tab. 6 - Hierarchical fuzzy analysis: overlaps of each pastoral types (in rows) with the other types (in columns). All values are given in percentage.

Pastoral Type	1	2	3	4	5	6
type 1 overlaps	65	9	22	3	1	0
type 2 overlaps	1	59	20	3	6	11
type 3 overlaps	9	30	54	5	1	1
type 4 overlaps	1	10	20	67	1	1
type 5 overlaps	0	16	5	1	72	6
type 6 overlaps	0	16	4	1	4	75

Identification and definition of pathways between pastoral types

Fuzzy analysis confirmed the general classification obtained by hierarchical cluster analysis (Tab. 6): correct membership coefficients were between 54% and 75%. Pastoral types 1, 4, 5 and 6 resulted the best defined (correct membership over 65%), pastoral

Tab. 7 - Kolmogorov-Smirnov test on slope, distance from farm, N and F ecological indices (values with the same letter in a column are not significantly different at $P < 0.05$).

Substrate	Type	slope (%)	distance from farm (m)	N index	F index
calcareous	Type 2	33 ^a	652 ^b	2.32 ^b	2.71 ^a
	Type 5	21 ^a	859 ^a	2.49 ^b	2.41 ^b
	Type 6	34 ^a	412 ^b	3.03 ^{AM}	2.85 ^a
siliceous, above timberline	Type 1	33 ^a	1198 ^a	2.24 ^b	2.64 ^{ab}
	Type 2	25 ^a	1256 ^a	2.89 ^a	2.71 ^{ab}
	Type 3	22 ^a	1228 ^a	2.42 ^{ab}	2.87 ^a
siliceous, below timberline	Type 2	18 ^b	641 ^{ab}	2.67 ^b	2.85 ^{ab}
	Type 3	24 ^{ab}	884 ^a	2.39 ^{bc}	2.90 ^{ab}
	Type 4	30 ^a	793 ^{ab}	2.07 ^c	2.74 ^b
	Type 6	22 ^b	555 ^b	3.19 ^{AM}	2.94 ^a

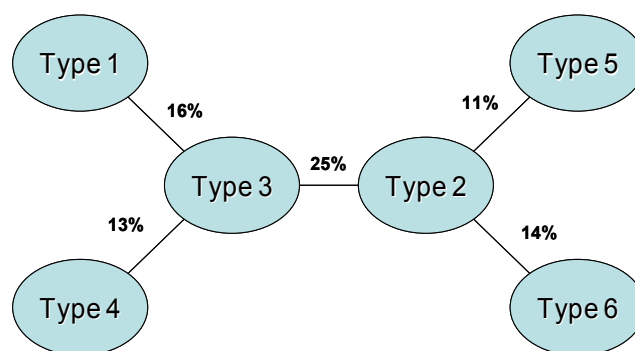


Fig. 3 - Hierarchical fuzzy analysis. The percentage values point out the main overlaps between pastoral types (mean values).

types 2 and 3 were more “fuzzy” (correct membership under 60%). Very low overlaps were found between types from different ecological sites (overlaps under 3% between types 1, 4 and 5): larger overlaps were found between types in the same ecological site, but low values resulted between types 5 and 6 (mean overlap 5%), between types 1 and 2 (mean overlap 5%) and between types 4 and 6 (mean overlap 1%). Main overlaps occurred among pastoral types 2 and types 3, 5, and 6 (mean overlaps over 11%) and between type 3 and types 1, 2 and 4 (mean overlaps over 13%). Finally, mean overlap of 25% resulted between types 2 and 3 (Fig. 3). Fuzzy analysis localized pastoral types 2 and 3 at the centre of the vegetation pathways: in particular type 2 was considered the pivot between types 5 and 6 in calcareous sites; type 3 the pivot between types 1 and 2 in siliceous sites above timberline and both types resulted the pivot between types 4 and 6 in siliceous sites below timberline.

Non-parametric test for mean separation on slope, distance from farm, N and F indices were then employed for the analysis of pathways among the pastoral types in each ecological site (Tab. 7). In calcareous sites N index was able to discriminate type 6 (higher nutritive elements concentration) from types 5 and 2 (lower nutritive elements concentration), type 5 was linked to low-moisture environments, distance from the farm pointed out a gradient going from type 5 (average distance 859 m) to type 6 (average distance

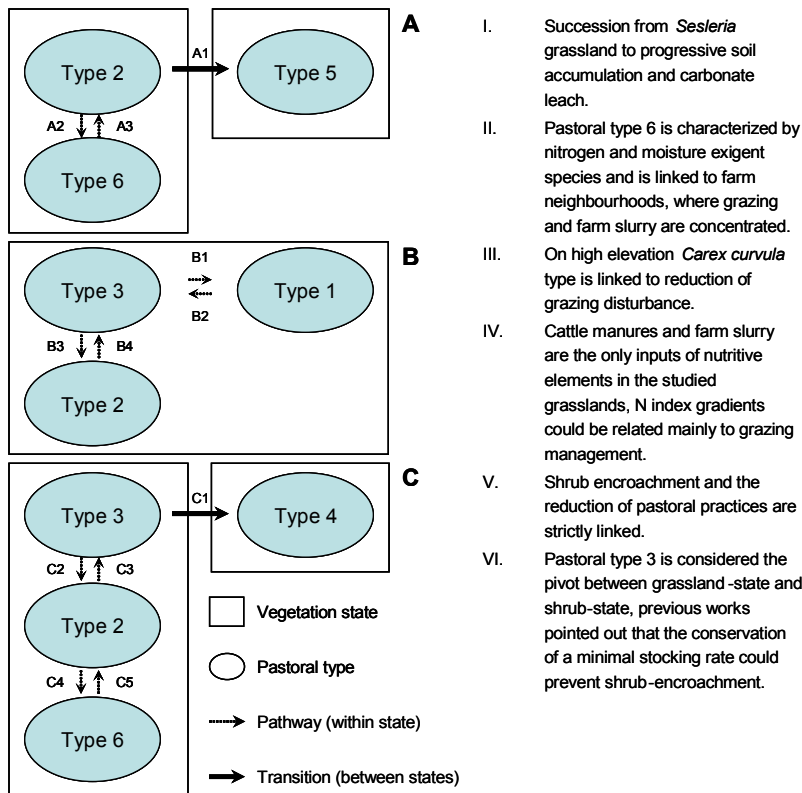
412 m), type 2 (average distance 652 m) was localized between these two extremes. Slope differences were not significant.

In siliceous sites above the timberline only N and F indices were significant and they were able to discriminate type 1 (lower nutritive elements concentration and lower moisture), from type 3 (higher F values) and type 2 (higher N values). As expected, pastoral types above 2200 m were the most distant from the farms which were localized at lower altitudes (mean distances between 1198 and 1256 m). In siliceous sites below the timberline, shrub-encroached grasslands (pastoral type 4) prevailed on steeply-sloping areas (mean slope 30%), whereas types 2 and 6 were common on more level areas (mean slope 18% and 22%); type 3 was localized far from the farms (mean distance 884 m), whereas type 6 was linked with farm neighbourhoods (mean distance 555 m). N index was able to discriminate a gradient from type 6 (highest concentration of nutritive elements) to type 4 (lowest concentration of nutritive elements). Type 4 was also characterized by the lowest F index values.

Discussion

Pastoral types were characterized by distinct ecological exigencies, dominant species in each pastoral type were indicators of specific environmental conditions and pastoral characteristics: *Carex curvula* (dominant species in type 1) is typical on high-altitude and siliceous pastures; *N. stricta* (type 3) is a non-palatable graminoid typical on siliceous grasslands and it is considered an indicator of low stocking rates; *F. gr. rubra* (type 2) is a palatable graminoid with broad ecological spectrum; *Vaccinium myrtillus* and *Calluna vulgaris* (dominant species in type 4) are dwarf-shrubs which usually encroach mountain grasslands; *Sesleria varia* and *Carex sempervirens* (dominant species in type 5) are typical calcareous substrate species and *Deschampsia caespitosa* (type 6) is a nitrogen and soil moisture richness indicator. Species composition allowed a substantially clear interpretation of productive characteristics and ecological exigencies of the pastoral types: type 1 (*Carex curvula* type) corresponded to high-altitude pastures; type 2

Fig. 4 - Proposed State-and-Transition-Models for the studied grasslands. A: calcareous sites, B: siliceous sites above timberland, C: siliceous sites below timberland. Main sources of data used to support the framework elements are referenced in the figure, effects of pastoral practices on pastoral type dynamics are simplified as follows. A1: grazing in drought periods, erosion (reversion of transition is due to slow natural succession); A2: farm slurry; A3: absence of farm slurry and grazing. B1: grazing abandonment; B2: increase of grazing; B3: increase of grazing; B4: reduction of grazing. C1: grazing reduction under minimal stocking rate (reversion of transition requires shrub elimination, seeding and grazing management); C2: increase of grazing from minimal stocking rate to potential stocking rate; C3: reduction of grazing from potential stocking rate; C4: farm slurry and localized overgrazing; C5: absence of farm slurry, balanced stocking rate.



- I. Succession from *Sesleria* grassland to progressive soil accumulation and carbonate leach.
- II. Pastoral type 6 is characterized by nitrogen and moisture exigent species and is linked to farm neighbourhoods, where grazing and farm slurry are concentrated.
- III. On high elevation *Carex curvula* type is linked to reduction of grazing disturbance.
- IV. Cattle manures and farm slurry are the only inputs of nutritive elements in the studied grasslands, N index gradients could be related mainly to grazing management.
- V. Shrub encroachment and the reduction of pastoral practices are strictly linked.
- VI. Pastoral type 3 is considered the pivot between grassland -state and shrub-state, previous works pointed out that the conservation of a minimal stocking rate could prevent shrub-encroachment.

(*Festuca gr. rubra* type) pastures characterized by palatable species like *Festuca gr. rubra* and *Phleum alpinum*; type 3 (*Nardus stricta* type) grouped poor pastures on siliceous substrate dominated by the unpalatable graminoid *N. stricta*; type 4 (shrub-encroached type) is characterized by the invasion of shrub species belonging to *Ericaceae* like *Vaccinium myrtillus*, *Calluna vulgaris* and *Rhododendron ferrugineum* which could point towards the presence of an early phase of forest re-growth succession (confirmed by the presence of a pioneer tree-species like *Larix decidua*); type 5 (*Sesleria varia* type) grouped grasslands characterised by species adapted to a calcareous substrate like *S. varia*, *Carex sempervirens*, *Erica carnea* and *Rhododendron hirsutum* with a significant presence of pioneer species on calcareous areas like *Helianthemum nummularium* and *Dryas octopetala*; finally, type 6 (*Deschampsia caespitosa* type) was characterized by species with high fertility exigencies like *Deschampsia caespitosa*, *Phleum alpinum* and *Ranunculus montanus* (Ellenberg 1988).

Definition of ecological sites and chi-square tests confirmed the ecological exigencies of the dominant species in the pastoral types: *C. curvula* type was linked with siliceous grasslands above timberland, type 2 was characterized by species with a broad ecological spectrum like *F. gr. rubra* and it did not show any link with a specific ecological site, *N. stricta* type was linked to siliceous sites, a shrub-encroached type was defined as an early phase of succession from

grassland towards woodland and it was linked with siliceous sites below the timberline, *S. varia* type was linked with calcareous sites, type 6 was related with high fertility conditions which are typical in the farm neighbourhood.

N index was able to describe adequately the differences between the pastoral types and the pathways defined by hierarchical fuzzy analysis: a fertility gradient was highlighted in site A going from type 2 to type 1 and in site B going from type 6 to type 4. F index was able to discriminate type 5 (lower moisture) and type 6 (higher moisture). This evidence was probably in relation with the high permeability of the calcareous areas (type 5) and the specific low permeability of the siliceous areas (dominated by the Val Vissdende Formation) which was worsened by the presence of localized overgrazing in type 6.

Slope differences highlighted a considerable relationship between the shrub-encroached type and sloping sites. According with other works on alpine territories (e.g., Tasser et al. 2007), this evidence could be explained by a reduction in pastoral practices: in the area studied cattle routes and grazing depended strongly on topography, thus sloping sites were the primary areas affected by a reduction in pastoral practices and this could be confirmed by the low N index values found in type 4.

Deschampsia caespitosa type was found to be the pastoral type related with the neighbourhood around the farms and this evidence

was probably linked with the organic manure practices which were traditionally concentrated in the grasslands around the farm because these pastures were characterized by the highest productive potential and by higher facilities for mechanization. The high nutrient availability in pastoral type 6 was confirmed by the high N index values, the high F index values could be related with the presence of localized overgrazing

The organization of data and results allowed the assessment of one STM for each ecological site (Fig. 4): particular attention was dedicated to the definition of relationships between N index results and pastoral types and its management implications.

In calcareous sites the pathway between pastoral types 2 and 5 was not related to N index differences, it is probably linked with natural evolution of vegetation on deeper soils and progressive carbonate leach, whereas reversion of the transition (from type 5 to type 2) is related with erosion and soil losses (Gusmeroli et al. 2004). Grazing in drought periods is a very likely driving factor behind erosion and regression to type 5, but further studies are needed to support this evidence.

STM on siliceous sites above timberline was classified as a one-state-model because no transitions were identified. Grazing disturbance favours grazing-adapted species and the shift from type 1 to type 2 through type 3, but there was no evidence of hard-to-revert transitions between types. As a result, higher stocking rates were linked with type

2, reduction of grazing practices were linked with pastoral types 3 and 1.

Two states were defined in site C: types 2, 3 and 6 were part of the “open grassland” state and type 4 was part of the shrub-encroached state. The transition to type 4 was linked with progressive reduction of grazing and related practices, whereas intensive practices such as roller-chopping, or fire and reseeding are needed to revert the transition. Type 6 was linked with farm manure practices and localized overgrazing; type 2 was linked with grazing and the absence of manure; the shift to types 3 was linked with a progressive reduction in grazing. Even if no differences in shrub species presence were highlighted between types 2 and 3 (chamaephytes SC was 6% and 5% respectively), fuzzy analysis clearly pointed out a higher overlap of type 3 with type 4. As a result type 3 was identified as the critical pastoral type where stocking rate should be maintained above a critical value, in order to avoid the transition of grasslands to the shrub-encroached state. In previous works on this areas this critical stocking rate was called “minimal stocking rate” which was assessed as the 25% of the carrying capacity (Argenti et al. 2000).

Conclusions

The employment of pastoral types as vegetation units in a state-and-transition framework was successful. This study allowed us to build one STM framework for each ecological site, we combined statistical evidence (obtained by cluster analysis, fuzzy analysis and non-parametric tests) and available information to propose a general framework describing grasslands in the area under study, based on field data and empirical evidence. The STM approach led to a valid framework which could be useful in the description of alpine grasslands, in particular the classification of transitions between different states could be of great help in the identification of at-risk grassland communities and in the application of sustainable conservation practices.

In siliceous sites below the timberline, pastoral abandonment was recognized as causing the state transition from an open grasslands state to a shrub-encroached state. We identified pastoral type 3 as the critical pivot in the STM. Maintaining a minimal stocking rate in these grasslands could be appropriate to avoid the state transition, though further studies are needed to fully understand the relationship between grazing management and the conservation of productive potential of mountain grasslands.

Grazing management is among the main factors determining vegetation changes in grasslands, N index analysis allowed us to throw light on the relationship between the effects of grazing on nutrient availability in

soil and vegetation shifts. However, the development of techniques for ecosystem monitoring requires a deeper knowledge about communities’ resilience, feedback mechanisms and description of transitions and restoration pathways (Groffman et al. 2006), including the assessment of valid threshold indicators that would require several years and specific research projects (Pyke et al. 2002).

Shrub encroachment is probably the most evident change in alpine pastures and our goal was to point out that shrublands represent alternative hard-to-revert states. Beyond a certain point (ecological threshold) grazing management is no more effective to revert the transition from grassland to shrubland and intensive measures are required (Bestelmeyer et al. 2009). We believe that grassland conservation policies should concentrate on prevention and grazing management through monitoring programs rather than restoration. It is possible that many areas will be appropriately left to natural succession, as pasture restoration by mechanical operations or burning could be ineffective or unsustainable (Pihlgren & Lennartsson 2008). In this case grazing limitation is of primary importance to reduce erosion risks and the perspective of a radical change in traditional land management should be carefully considered.

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